

**11 NCAC 12 .1712 VIATICAL SETTLEMENT BROKERS**

- (a) Applications for broker licenses shall be made with the Agent Services Division of the Department of Insurance.
- (b) A broker shall not, without the written agreement of the viator obtained before performing any services in connection with a viatical settlement, seek or obtain any compensation from the viator.

*History Note: Authority G.S. 58-2-40; 58-16-30; 58-58-300;  
Temporary Adoption Eff. April 1, 2002;  
Eff. April 1, 2003;  
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. May 1, 2018.*